

03292.101880.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:	)	
	:	Examiner: Yicun Wu
BETH BOLSTAD ET AL.	)	
	:	Art Unit: 2165
Appln. No.: 10/772,199	)	
	:	Conf. No.: 4238
Filed: February 4, 2004	)	
	:	
For: TEST STRATEGY SYSTEM AND	)	
METHOD FOR ACCOUNTS HELD	:	
DIRECT AT-FUND	)	
	:	
U.S. Patent No.: US 7,287,040 B2	)	
	:	
Issued: October 23, 2007	)	December 28, 2009

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF CORRECTION  
UNDER RULES 322 AND 323

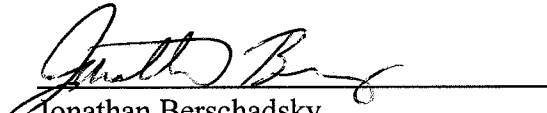
Sir:

It is respectfully requested that a Certificate of Correction be issued by the Patent and Trademark Office due to errors which appear in the printed patent as a result of Patent and Trademark Office mistakes, and mistakes of a clerical, typographical, or minor character, which were not the fault of the Patent and Trademark Office. A Certificate of Correction form, in duplicate, is attached.

The amount of \$100.00 to cover the statutory fee for such Certificate of Correction is being paid concurrently; please charge any deficiency in the fee, and credit any overpayment, to Deposit Account 06-1205.

Patentees' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Jonathan Berschadsky", is written over a horizontal line.

Jonathan Berschadsky  
Attorney for Patentees  
Registration No. 46,551

FITZPATRICK, CELLA, HARPER & SCINTO  
1290 Avenue of the Americas  
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UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : US 7,287,040 B2  
DATED : October 23, 2007  
INVENTOR(S) : BETH BOLSTAD ET AL.

Page 1 of 3

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

ON THE TITLE PAGE [75]:

INVENTORS: "Elizabeth Gray, Mpls, MN (US);" should read  
--Elizabeth Gray, Minneapolis, MN (US);--.

COLUMN 1:

Line 56 "¶ In this" should read --In this--.

COLUMN 3:

Line 64 "¶ The advisors" should read --The advisors--.

COLUMN 4:

Line 47 "comprise of" should read --be comprised of--; and  
Line 63 "Fund Y, 450," should read --Fund Y 450,--.

COLUMN 5:

Line 29 "may through" should read --may be arranged--; and  
Line 51 "may" should read --may be--.

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Page 2 of 3

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COLUMN 6:

Line 15 "comprise of" should read --be comprised of--;  
Line 19 "example;" should read --example:--;  
Line 21 "comprise of" should read --be comprised of--;  
Line 22 "piece. For example" should read --piece, for example:--;  
Line 29 "and depicted" should read --as depicted--;  
Line 47 "compliance" should read --compliance partners--; and  
Line 67 "comprise of" should read --be comprised of--.

COLUMN 7:

Line 6 "forego" should read --forgo--;  
Line 11 "comprise of" should read --be comprised of--;  
Line 40 "is optionally" should read --are--; and  
Line 45 "and depicted" should read --as depicted--.

COLUMN 8:

Line 5 "host," should read --host;--;  
Line 10 "may accessible" should read --may be accessible--;  
Line 46 "comprise of" should read --be comprised of--; and  
Line 61 "further 670." should read  
--further review, as depicted at block 670.--.

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Page 3 of 3

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

COLUMN 9:

Line 6 "operation. For example;" should read --operation, for example: --; and  
Line 48 "format. For" should read --format, for--.

COLUMN 10

Line 51 "selected" should read --be selected--.

COLUMN 11

Line 14 "described" should read --be described--; and  
Line 41 "required" should read --be required--.

COLUMN 12:

Line 12 "least is one" should read --least one--.

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